



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/754,094	01/03/2001	Mark S. Humayun	(71699) 55534

CONFIRMATION NO. 4130

FORMALITIES LETTER



OC000000005963644

Dike, Bronstein, Roberts & Cushman
Intellectual Property Practice Group of
Edwards & Angell, LLP
130 Water Street
Boston, MA 02109

Date Mailed: 04/12/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 355 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$526.
 - \$351 for 39 total claims over 20.
 - \$40 for 1 independent claims over 3.
 - \$135 for multiple dependent claim surcharge.
- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 946.

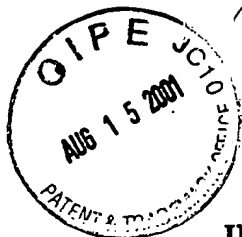
A copy of this notice MUST be returned with the reply.


Customer Service Center

08/17/2001 SSESHE1 00000058 09754094
01 FC:101 710.00
02 FC:103 702.00
03 FC:102 80.00
04 FC:104 270.00
05 FC:105 130.00
06 FC:116 390.00

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



sector #3

Attorney Docket No. 55534(71699)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT: M. Humayun, et al.

U.S.S.N.: 09/754,094

ART UNIT: Unknown

FILED: January 3, 2001

EXAMINER: Unknown

FOR: DEVICE AND METHOD FOR MANUAL RETINAL VEIN
CATHETERIZATION

CERTIFICATE OF MAILING

I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: BOX MISSING PARTS, Assistant Commissioner for Patents, Washington, D.C. 20231 on

August 13, 2001

By: Punita Shah
Punita Shah

BOX MISSING PARTS
Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

RESPONSE TO NOTICE TO FILE MISSING PARTS FILING DATE
GRANTED AND REQUEST FOR CORRECTED OFFICIAL FILING RECEIPT

The following is in response to the Notice to File Missing Parts - Filing Date
Granted mailed April 12, 2001, in the above referenced application.

Enclosed herewith for filing in the subject application are the following:

1. A copy of the Notice to File Missing Parts -Filing Date Granted for the subject application.
2. A properly signed oath or declaration in compliance with 37 CFR 1.63 with power of attorney, identifying the application by the above Application Title and Filing Date, and including residence information.
3. A statement by a registered attorney that the application filed in the PTO is the application that the inventors executed by signing the oath or declaration and power of attorney.
4. Assignment and Recordation Form Cover Sheet.

Completion of application filing fees as calculated below:

1. Surcharge for late payment of filing fee and/or late filing of original declaration or oath.....	\$ 130.00
2. The statutory basic filing fee.....	\$ 710.00
3. 39 total claims over 20.....	\$ 702.00
4. 1 Independent claim over 3	\$ 80.00
4. Multiple dependent claims	<u>\$ 270.00</u>
TOTAL.....	\$ 1892.00

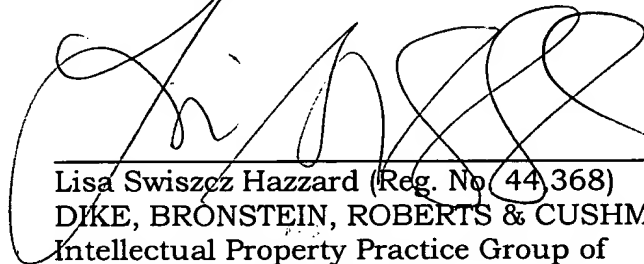
Enclosed herewith is a check for \$ 1892.00 in payment of the total fee due, along with a check in the amount of \$40.00 for recording the enclosed Assignment.

Applicants also respectfully request that the official filing receipt be corrected to reflect the inventorship of the subject application as provided on the enclosed declaration and to include the addresses for each of the identified inventors.

M. Humayun, et al.
U.S.S.N.: 09/754,094
Page 3

If for any reason a fee is required, a fee paid is inadequate or credit is owed for any excess fee paid, you are hereby authorized and requested to charge Deposit Account No. **04-1105**.

Respectfully submitted,



Lisa Swiszczy Hazzard (Reg. No. 44,368)
DIKE, BRONSTEIN, ROBERTS & CUSHMAN, LLP
Intellectual Property Practice Group of
EDWARDS & ANGELL, LLP
P.O. Box 9169
Boston, MA 02209
Tel 617-439-4444
Fax 617-439-4170

175065